

February 28, 2023

To,

BSE Limited

Corporate Relationship Department
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001

National Stock Exchange of India Limited

The Listing Department
Exchange Plaza, Plot No. C/1, G Block,
Bandra – Kurla Complex, Bandra (East)
Mumbai – 400 051

BSE Scrip Code: 543413

NSE SYMBOL: TEGA

Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)

Ref.: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020

With reference to the above, enclosed herewith, please find report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015. Kindly take the same on record.

Thanking You,

Yours faithfully,

For **Tega Industries Limited**

Manjuree Rai

Company Secretary & Compliance Officer

Encl: as above

Tega Industries Limited

Registered Office: Godrej Waterside, Tower-II, Office No 807, 8th Floor, Block DP-5, Salt Lake Sector V, Bidhannagar, Kolkata, West Bengal 700 09
Tel: +91 33 4093 9000 | Fax: +91 33 4093 9075 | www.tegaindustries.com



An ISO 9001 : 2015 Company
CIN : L25199WB1976PLC030532

Report by Tega Industries Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

[For listed company: Schedule B read with Regulations 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediary/Fiduciary: Schedule C read with Regulations 9 (1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr No.	Particulars	Details			
1	Name of the listed company/ Intermediary/Fiduciary	Tega Industries Limited			
2	Please tick appropriate checkbox Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company			
3	Name of the Designated Person (DP) Name of the immediate relative of DP if reporting is for immediate relative.	Mr. Anirban Dev, Designated Person (employee of the Company)			
4	PAN of the DP PAN of the immediate relative of DP if reporting is for immediate relative	ANEPD5653R			
5	Designation of DP	Manager Global Marketing & PMG Tega Industries Limited			
6	Functional Role of DP	Employed in Global Marketing & PMG department			
7	Whether DP is Promoter / Promoter Group/ holding CXO Level Position (e.g. CEO, CFO, CTO etc.)	No			
8	Transaction details				
	Name of the scrip	TEGA (543413)			
	No. of Shares traded and value (Rs.) (Date-wise)	No. of Shares Traded	Date of trading	Nature of Transaction	Value of trade
		20	01.02.2023	Sale	10 Shares @ Rs. 621 per Share aggregating to Rs. 6210 (excluding brokerage & taxes)

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			02.02.2023		and 10 Shares @ Rs. 640 per Share aggregating to Rs. 6400 (excluding brokerage & taxes)						
9	In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter- a) Date of intimation of trade(s) by concerned DP/Director/Promoter group to Company under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable									
	b) Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable									
10	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	The details of violations are as under: <table><tr><th>Nature of Transaction</th><th>Date of trading</th><th>Particulars (Nature of breach)</th></tr><tr><td>Sale</td><td>01.02.2023 02.02.2023</td><td>Violation of clause 5 of the ‘Tega Insider Code’ w.r.t trading in Securities during the closure of trading window.*</td></tr></table>				Nature of Transaction	Date of trading	Particulars (Nature of breach)	Sale	01.02.2023 02.02.2023	Violation of clause 5 of the ‘Tega Insider Code’ w.r.t trading in Securities during the closure of trading window.*
Nature of Transaction	Date of trading	Particulars (Nature of breach)									
Sale	01.02.2023 02.02.2023	Violation of clause 5 of the ‘Tega Insider Code’ w.r.t trading in Securities during the closure of trading window.*									
		*Trading Window closed w.e.f. 01.01.2023 to 02.02.2023									
11	Action taken by Listed company/Intermediary/Fiduciary	The Stakeholders Relationship Committee (SRC) vide resolution by circulation passed on February 28, 2023 recommended that considering this is the first mistake of violation and the quantum and value of the Equity Shares sold being minimal and the fact that Mr. Anirban Dev has incurred a net loss from the said trade, the Committee feels imposition of any penalty will not be proportionate to the offence and conduct of the person. Even Mr. Dev himself has admitted the violations alleged. The oversight happened as there was an urgent need of funds due to which the transaction was made at a loss. Hence, in view of the current circumstances, the Committee provided relaxation from imposing any penalty upon the him and served a warning vide letter dated February 28, 2023 forewarning not to commit any violation under ‘Tega Insider Code’.									

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		The above decision of the SRC was communicated to the Designated Person vide letter dated February 28, 2023.
12	Reasons recorded in writing for taking action stated above	Certified True Copy of the Decision passed by SRC through Circulation on February 28, 2023 stating reasons recorded in writing for taking action stated above is annexed hereto and marked as ' Annexure A '.
13	Details of the previous instances of violations, if any, since last financial year	Mr. Anirban Dev, is an employee of the Company, and hence is a Designated Person under Tega Insider Code. Further, no previous instances of violation of Tega Insider Code by the aforesaid Designated Person has been observed.
14	Any other relevant information	-

Yours faithfully,

Date: February 28, 2023
Place: Kolkata

Manjuree Rai
Compliance Officer
Email Id: compliance.officer@tegaindustries.com
Mobile Number: 9051498504

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CERTIFIED TRUE COPY OF THE RESOLUTION PASSED THROUGH CIRCULATION (NO. FY 2022-23/02) BY THE STAKEHOLDERS RELATIONSHIP COMMITTEE OF THE COMPANY ON TUESDAY, THE 28TH FEBRUARY, 2023

VIOLATION OF THE TEGA CODE OF CONDUCT TO REGULATE, MONITOR AND REPORT TRADING BY DESIGNATED PERSONS AND THEIR IMMEDIATE RELATIVES

"RESOLVED THAT after considering the present case of violation of Tega Insider Code by Mr. Anirban Dev, Designated Person of the Company, approval of the Committee, be and is hereby, accorded by issuing a warning letter and providing relaxation from imposing any penalty.

RESOLVED FURTHER THAT the Company Secretary, be and is hereby, authorised to do all such acts, deeds, matters, and things as deemed necessary, proper, and desirable and to sign, and execute all necessary documents, applications, and returns for the purpose of giving effect to the aforesaid resolution and to take suitable action for implementation of the above-said decision of the Committee."

For and on behalf of Tega Industries Limited

Manjuree Rai

**Company Secretary & Compliance Officer
ACS 12858**

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