



INDUSTRIES LTD

PARTNERSHIPS IN PRACTICE

27th April, 2022

BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001

Scrip Code: 543413

National Stock Exchange of India Limited
The Listing Department
Exchange Plaza, Plot No. C/1, G Block,
Bandra – Kurla Complex, Bandra (East)
Mumbai – 400 051

NSE SYMBOL: TEGA

Sub: Compliance Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”)

Dear Sir/Madam,

Pursuant to the provisions of Regulation 40(9) of the Listing Regulations, please find enclosed herewith the Certificate issued by Practicing Company Secretary for the financial year ended March 31, 2022.

Kindly take the same on record.

Thanking You,

Yours faithfully,

For Tega Industries Limited

Manoj Kumar Agarwal

Chief Financial Officer, Company Secretary & Compliance Officer



Encl: a/a

Tega Industries Limited

Registered Office: 147, Block G, New Alipore, Kolkata - 700 053 | Tel: +91 33 3001 9000 | Fax: +91 33 2396 3649 | www.tegaindustries.com

Corporate Office: Godrej Waterside, Tower-II, Office No 807, 8th Floor, Block DP-5, Salt Lake Sector V, Bidhannagar, Kolkata, West Bengal 700 091
Tel: +91 33 4093 9000 | Fax: +91 33 4093 9075 | www.tegaindustries.com



An ISO 9001:2008 Company
CIN : L25199WB1976PLC030532



Sweety Kapoor

Practising Company Secretary

📍 : Business Communication Centre,
21, Parsee Church Street, Room No. 4
Near - 18, Ezra Street, Kolkata - 700001
☎ : 033-40083749
📠 : 9830471414
✉ : sweetykapoor53@rediffmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2022

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019. Further, SEBI vide its circular dated 7th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 2nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to issue of duplicate share certificates, claim from unclaimed suspense account, renewal/ exchange, endorsement, sub-division/ splitting, consolidation, transmission and transposition of share certificates.

Accordingly, I have examined the relevant books and records, files and other documents of M/s. Tega Industries Limited having CIN NO. L25199WB1976PLC030532, maintained and produced electronically by their Registrar and Share transfer Agent M/s. Link Intime India Private Limited from 13th December, 2021 (date from which Equity Shares of the Company got listed at NSE and BSE Limited) to 31st March, 2022 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, I hereby certify that:

- There were no requests relating to transfers received during the said period;
- The Company has not received any requests for sub-division, transmission, deletion of name, transposition, consolidation, renewal, duplicate issue of share certificates as the case may be, during the said period;
- Any other information as applicable- NIL.

Place: Kolkata

Date: 25/04/2022

Sweety Kapoor
(Sweety Kapoor)

Practising Company Secretary
FCS - 6410, COP - 5
UDIN: F006410D000199691